



Microphonics and Active Compensation

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- Project Operations: Elvin Harms
- JLab: Tom Powers

Outline

- Introduction
- Definition (Microphonics/LFD)
- Effects
- Facility
- Diagnosis
- Mitigation
 - Passive
 - Active
- Auxiliary Systems Considerations

Introduction

- Superconducting cavities have extremely high Q values, which leads to minor physical variations able to cause significant RF differences
- On higher frequency cavities, such as the 3.9 GHz cavities used for LCLS-II, displacement becomes a significant issue as 0.1 mm movement can lead to fundamental mode frequency shifts on the order of 1 kHz/ μm

Definition

- Lorentz Force Detuning
 - RF Gradient
- Microphonics
 - Pressure Fluctuations
 - Cryogenics
 - Mechanical Distortions
 - Cryogenics
 - Vacuum Equipment
 - HVAC
 - Water
 - Unknown Unknowns (Larry)
 - Cable variations

Lorentz Force Detuning

- Dynamic vs Static; Pulsed vs CW

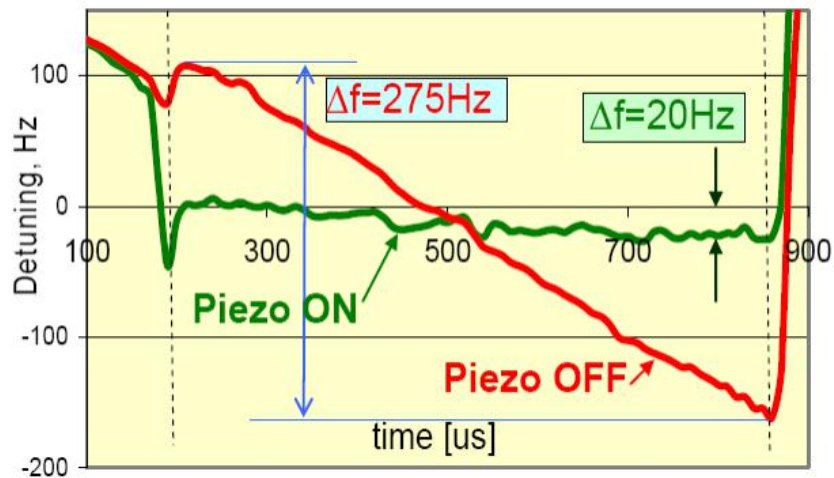


Figure 7: CCII average Lorentz force detuning at $E_{\text{Acc}}=26 \text{ MV/m}$ with and without compensation

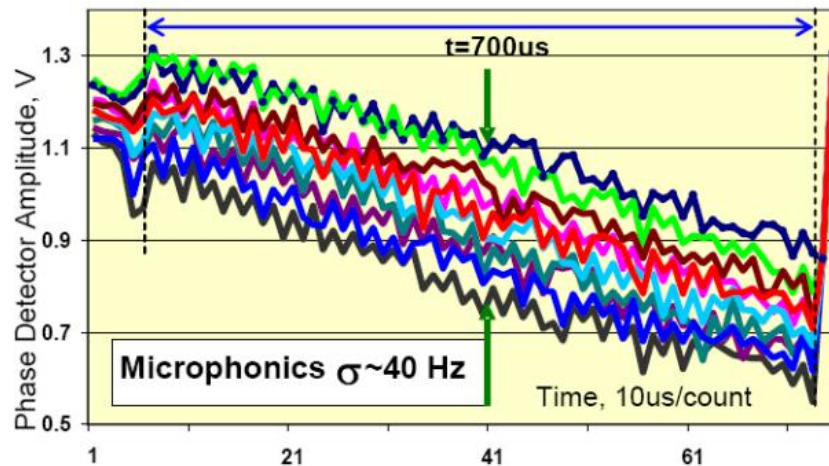


Figure 9: Pulse-to-pulse variations in the CCII phase detector signal due to microphonics.

The Math

- The steady state amplitude and phase controls needed for microphonics is given by:

$$P_{RF} = \frac{(\beta + 1)L}{4\beta Q_{FPC}(r/Q)} \left\{ (E + I_0 Q_{FPC}(r/Q) \cos\varphi_B)^2 + \left(2Q_L \frac{\delta f}{f_0} E + I_0 Q_{FPC}(r/Q) \sin\varphi_B \right)^2 \right\}$$

$$\varphi_{RF} = \arctan \left(\frac{2Q_L \frac{\delta f}{f_0} E + I_0 Q_{FPC}(r/Q) \sin\varphi_B}{E + I_0 Q_{FPC}(r/Q) \cos\varphi_B} \right)$$

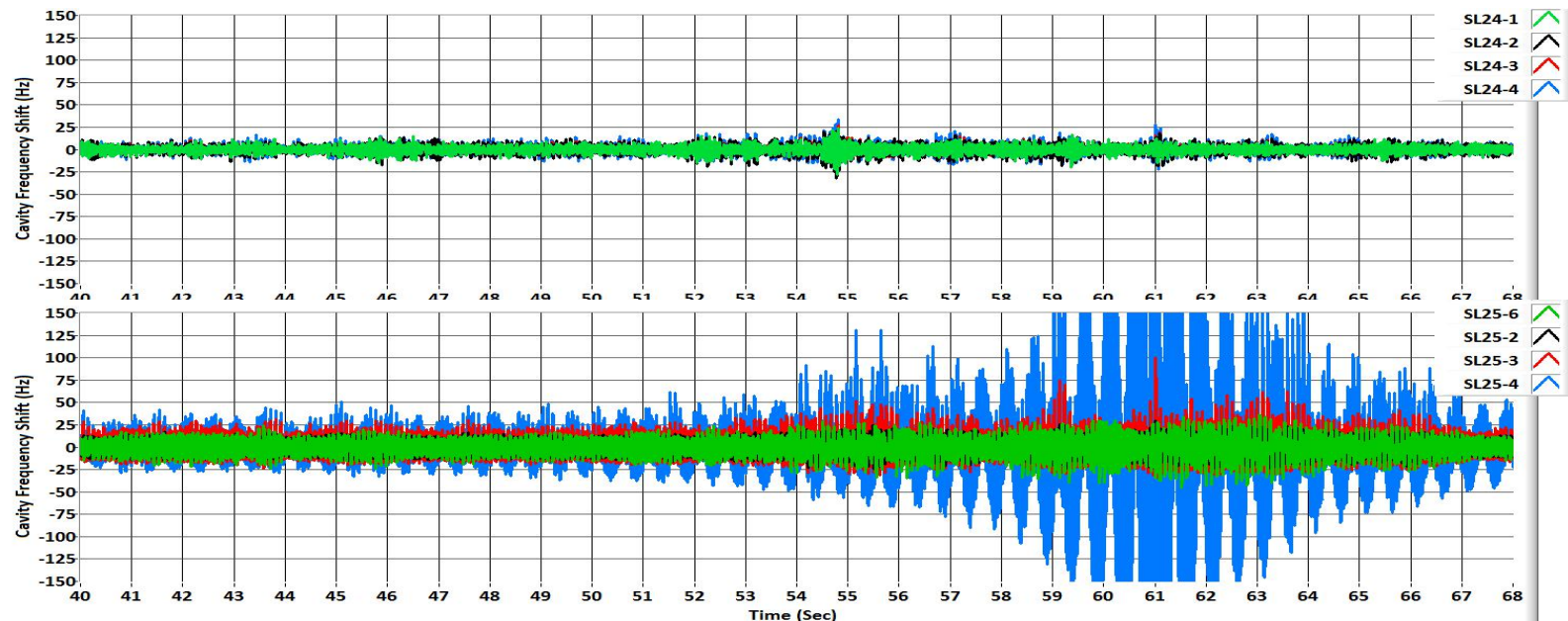
- **One interesting outcome of the math is that beam loading reduces the control requirements due to microphonics.**

*Frequently folks use the loaded-Q, Q_L in place of the fundamental power coupler-Q, Q_{FPC} .

Other Labs

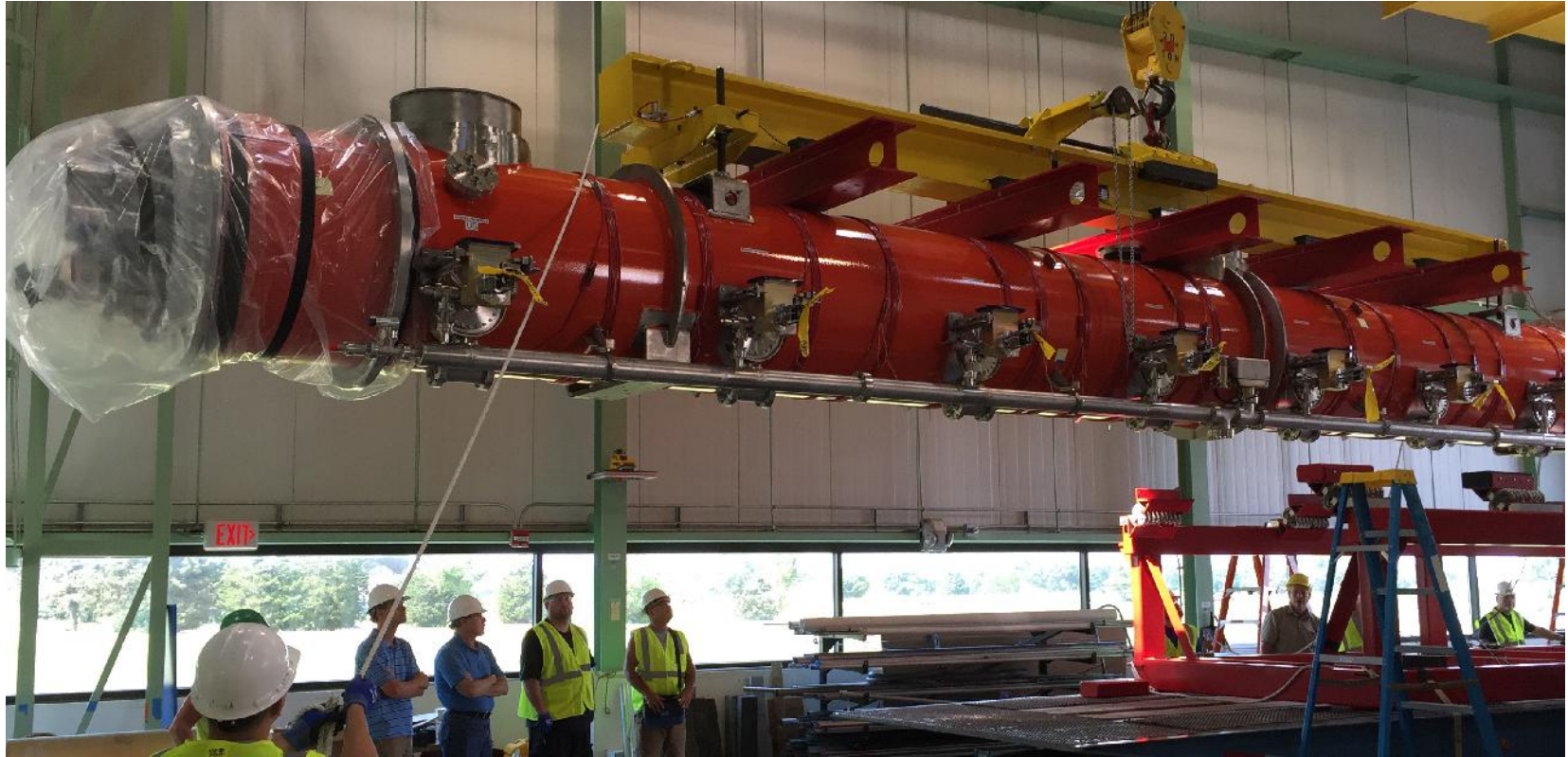
- US Labs have started holding Microphonics Workshops, with the first held in 2015
 - <https://indico.fnal.gov/event/10555/>
- Microphonics is not a single-lab problem

Comparison of a Hardened (SL24) and Zone With No Improvements (SL25) During Truck Drive By

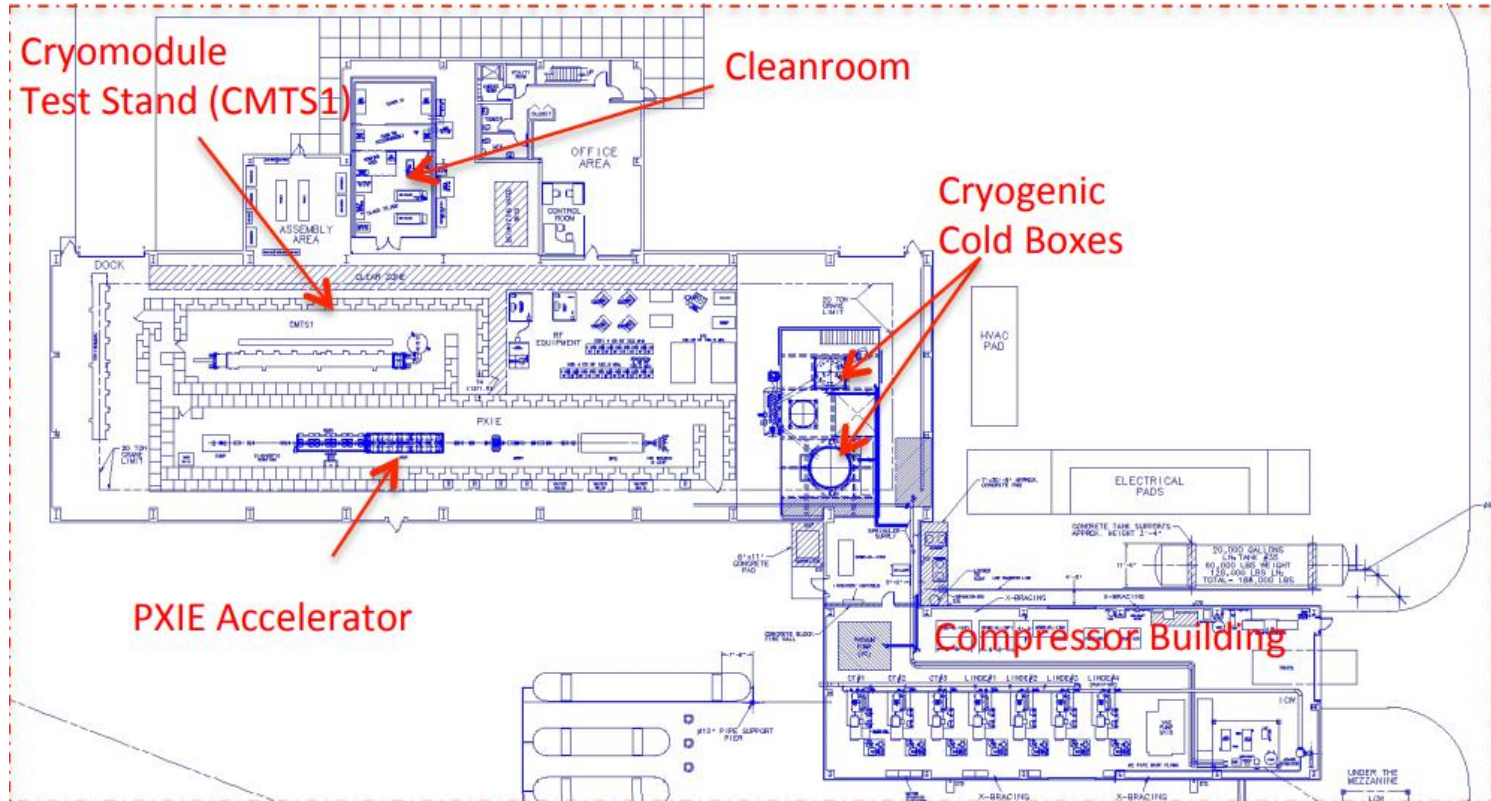


- **A liquid nitrogen truck drove down the south linac service road at about 15 mph passing the zone at time equals about 60 seconds.**
- **Cavities operated in GDR mode at 3 MV/m in order to avoid trips.**

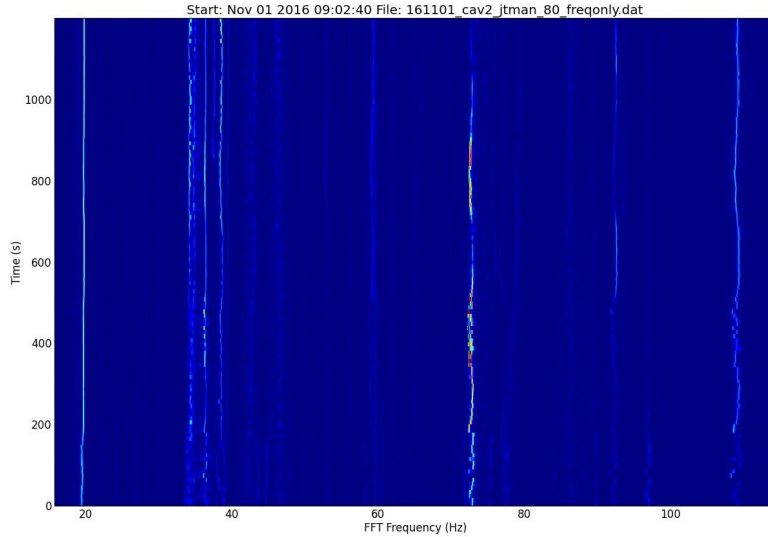
Fermilab CMTF



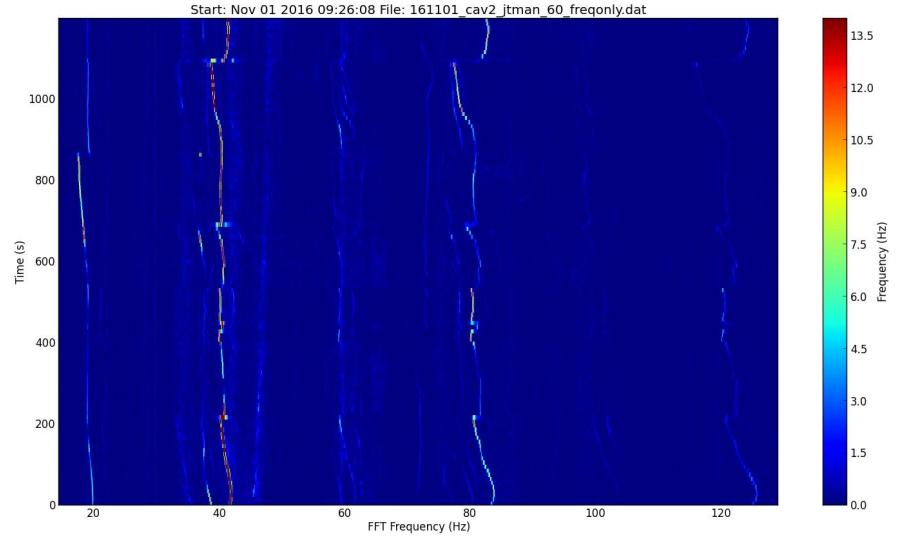
Fermilab CMTF



Initial Findings - F1.3-01

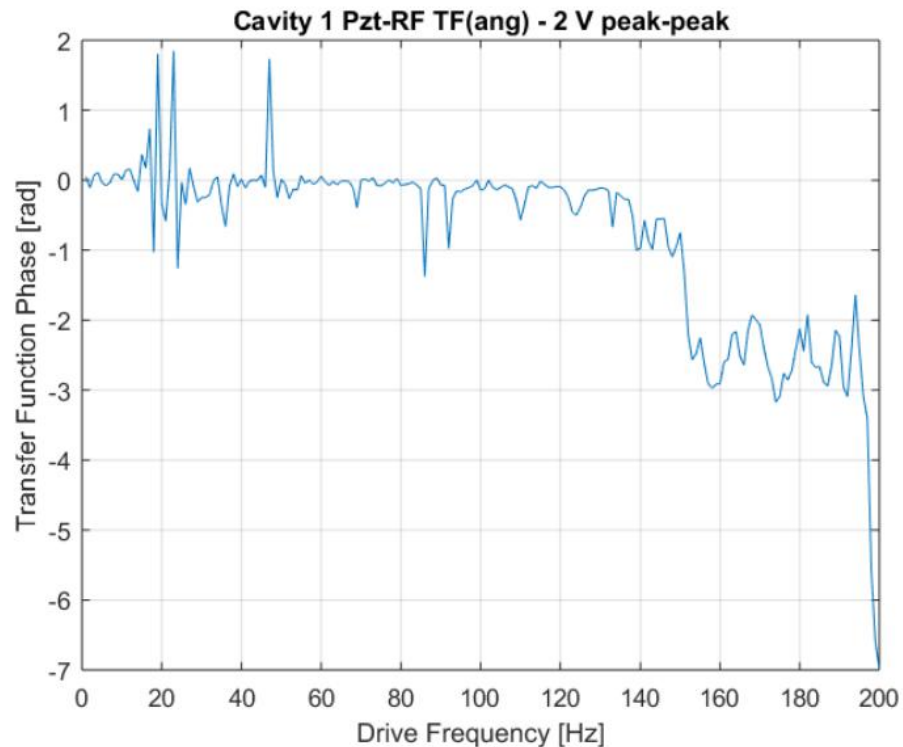
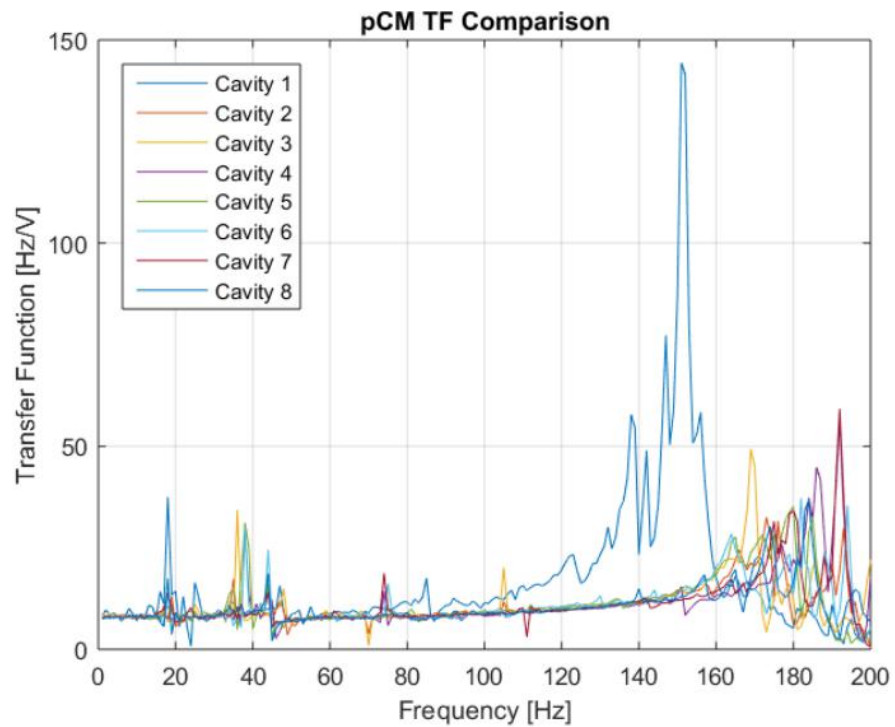


JT Valve at 60% open



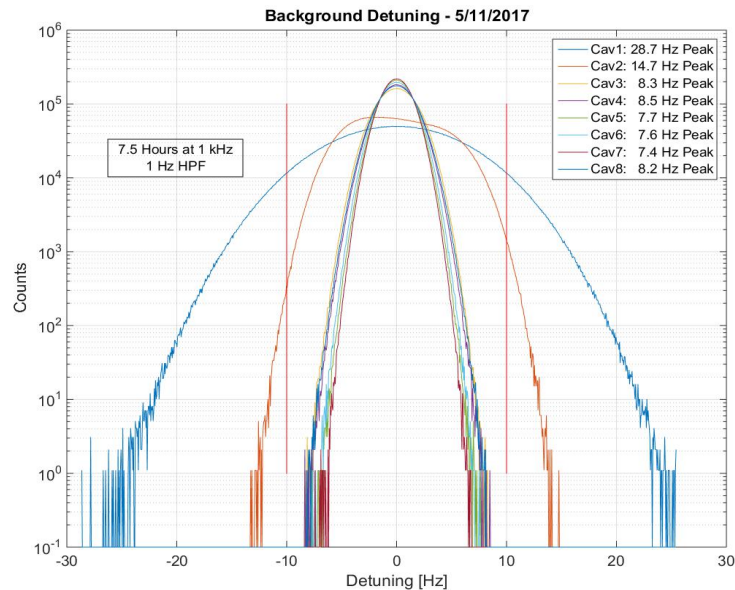
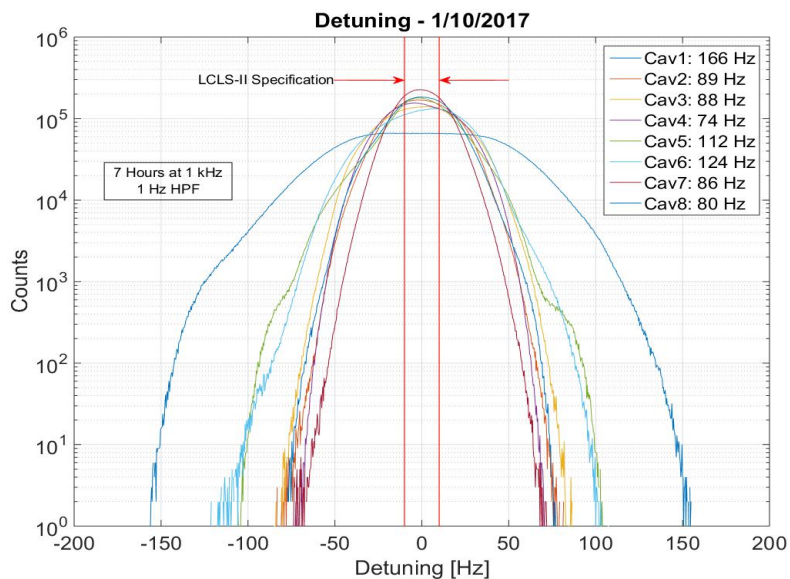
JT Valve at 80% open

Transfer Functions



As-cooled vs Post-Improvement

- Comparing performance of the standard cryogenics configuration, the microphonics environment in the F1.3-02 is a factor of ~ 10 improved
- Significant improvements in stability of the system, leading to a far more predictable detuning environment



Sources and Possibilities

- Injection method
 - The two-phase pipe was modified to include a baffle to avoid wind any damming effects or wind dragging due to the injection
- Cryomodule tilt due to tunnel installation
 - Teststands include a tilt to mimic actual installation. Theories on gas and liquid Helium flow abound
- Cool-down line and piping
 - Dead-head on cool-down line with oscillations in attached temperature sensors. Secondary effect, or primary problem?
- External sources
 - Vacuum pumps? Facility water? Waveguide transmission?
- TAOs
 - Rott developed theory in 1969 (see TAO part 1)
 - Requires careful design of system

Determination

- Considerations of the type of noise sources is necessary. Narrow-band vs broadband have different algorithms for efficient cancellation
- Stability analysis
 - Understanding of system frequency-domain response over time and bandwidth of signals
 - Cross-correlation analysis and spectral density analysis with windowing can provide further details
 - Plotting statistical variance

A Closer Look



Impulse Testing

- Broadband, calibrated source
- Simultaneous capture with sensors
- Modal Testing on warm structures
- Cavity-to-cavity coupling is readily tested

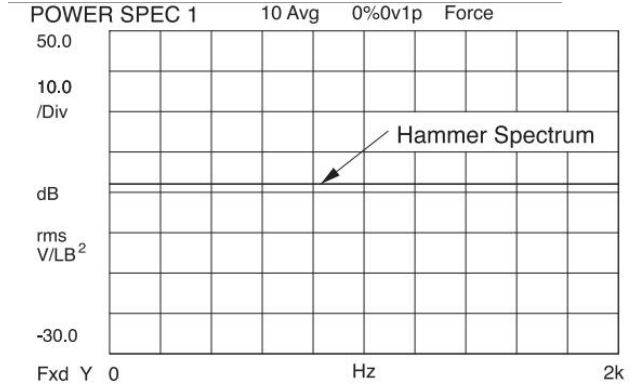
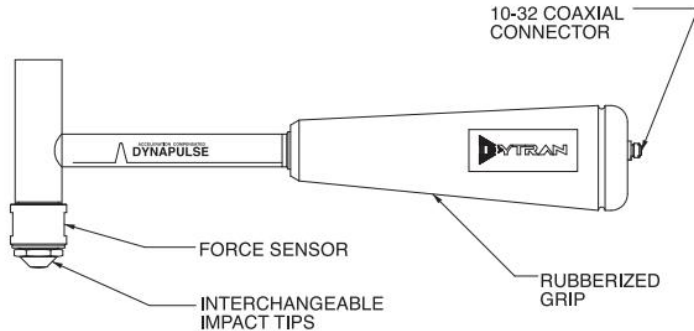


Figure 2: Impulse Spectrum, Aluminum Tip, No Extender

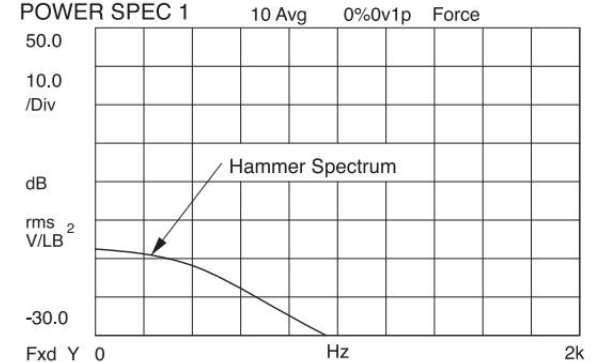
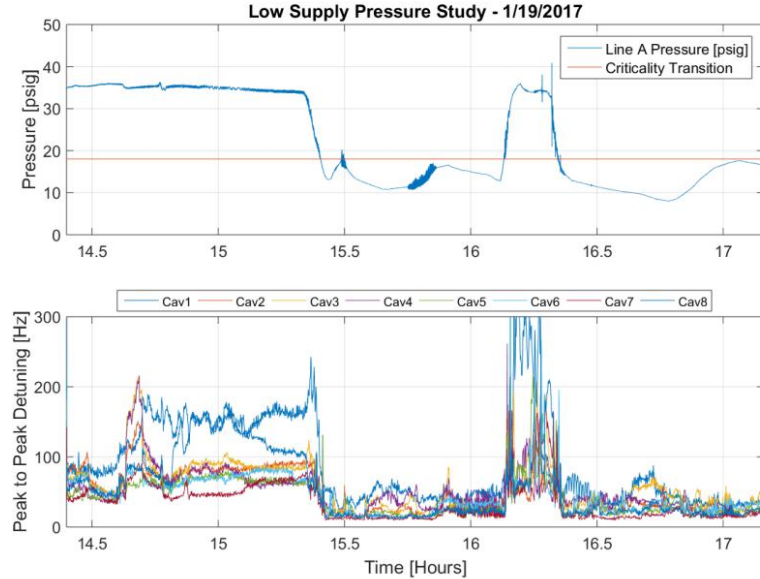


Figure 4: Impulse Spectrum, Soft Plastic Tip, One Head Extender

Microphonics vs Cryogenic System Studies

- Initially it was unknown that TAOs were the culprit
- Several cryogenic variables were varied during long data captures to find correlations.
- Discovered that at Subcritical Supply Pressures the microphonics improved by **factor of 10 !**
- In addition: reduction in steady-state flow rate from 4.7 g/s to 1.75 g/s, supply pressure stabilized, valve ice melted
- This coincident combination of improvements suggests TAOs in the valves were the main contributor to the high microphonics levels and 2K Static Heat Load



Mechanical Modes

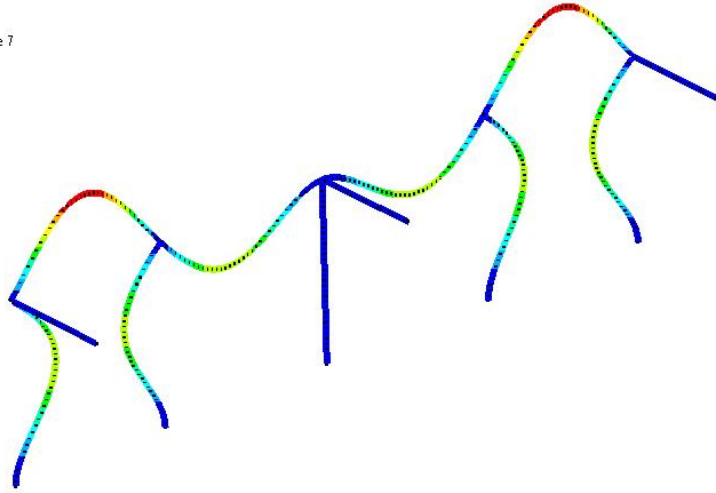
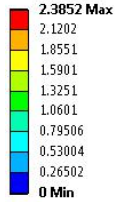
A: Full

Total Deformation - Mode 7

Type: Total Deformation

Frequency: 38.531 Hz

Unit: in



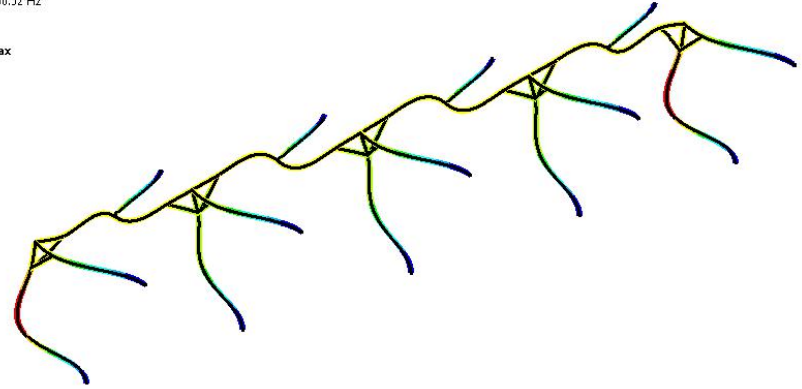
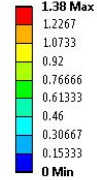
D: Full mod

Total Deformation - Mode 1

Type: Total Deformation

Frequency: 56.52 Hz

Unit: in



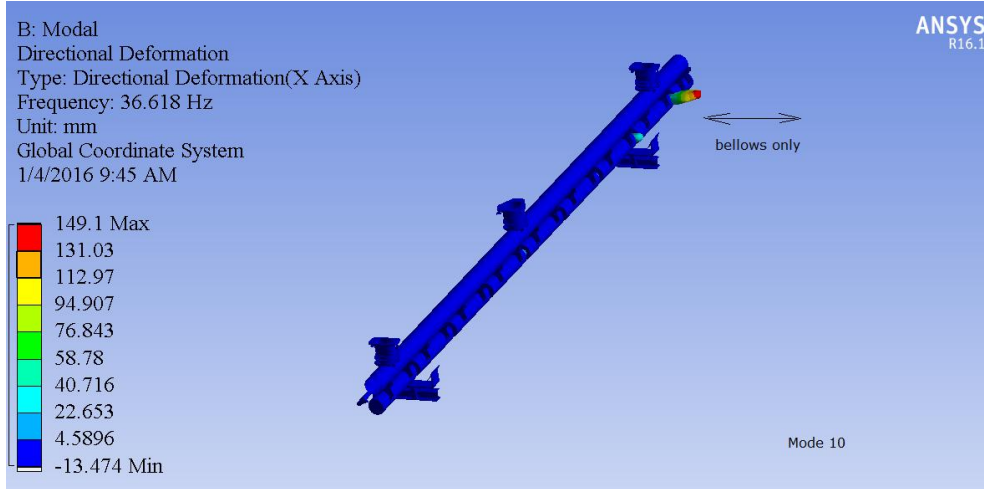
Mode No. **Freq (Hz)**

1.	8.5949
2.	8.9183
3.	11.622
4.	29.559
5.	33.823

Mode No. **Freq (Hz)**

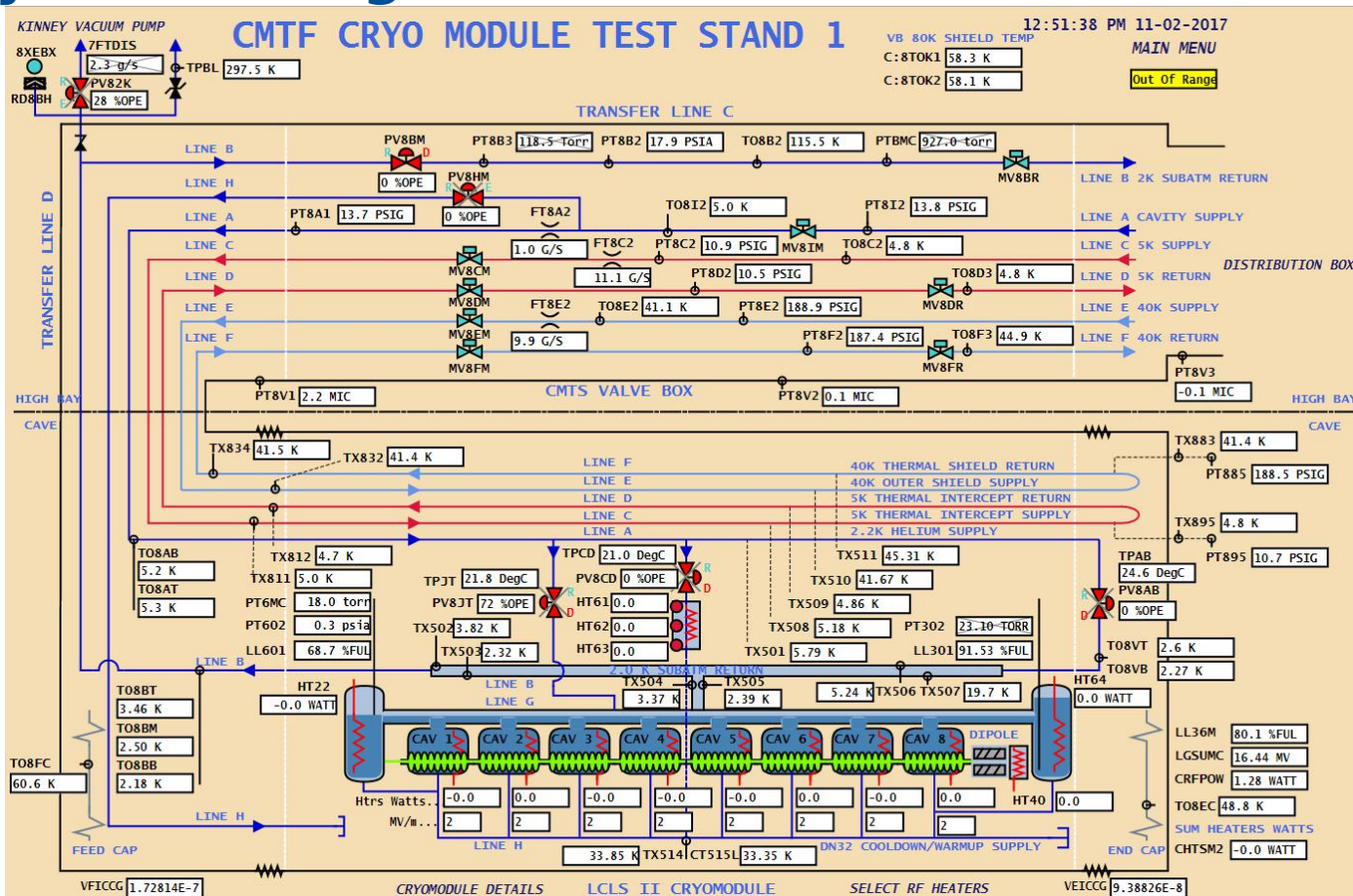
1.	56.52
2.	57.769
3.	57.81
4.	57.829
5.	58.226

Mechanical Modes



Mode	Frequency (Hz)
1	7.5612
2	17.759
3	20.540
4	22.055
5	25.182
6	26.733
7	27.641
8	31.911
9	33.422
10	36.618

Facility Monitoring



Diagnosis

- Fast pressure sensors
- Long-term data captures; Note FFT resolution
- RF power measurements
- Bubbles
- Cell Phones
- Microphones
- Geophones

Mitigation

- What is active compensation?
 - Is passive compensation and good design a form of active compensation?

Algorithms

- Least Mean Square (LMS)
- Kalman Filtering
- ‘Analog’ Filter Bank
- Direct feedback
- Anything else?
- Active Cancellation
- Pulse-to-pulse correction

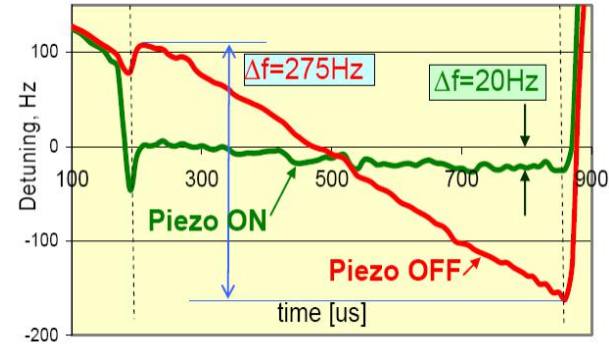


Figure 7: CCII average Lorentz force detuning at $E_{Acc}=26\text{MV/m}$ with and without compensation

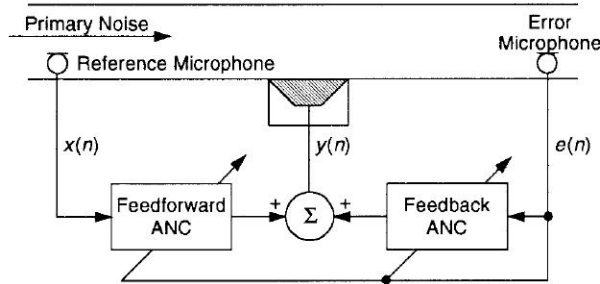


Fig. 17. Hybrid ANC system with combination of feedback ANC and feedforward ANC.

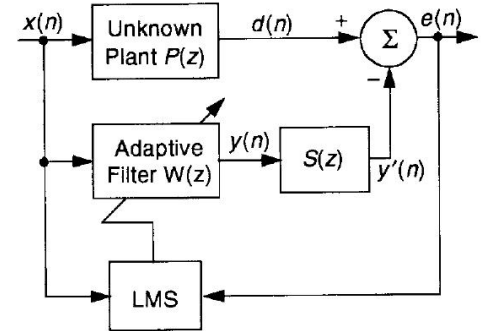


Fig. 3. Simplified block diagram of ANC system.

Mitigation

- LMS, NXLMS, FNLMS
 - Definition of basis function very important
 - Some functions have feedback inherent in the structure
- Model-based controllers
 - Currently available anywhere?
 - A model is necessary regardless of whether this is dynamic to have a base design to compare to
- Full simulation of mechanical design
 - Tuner, piping and support equipment can all contribute to expected microphonics and LFD
- A mix of narrowband and broadband suppression techniques are likely desired, with characterization of all sources a necessity.

Detuning Filter Bank - Feed Forward Controller

- Discrete-time State Space Realization
- General form for a system whose
 - Outputs and internal states depend linearly on the inputs and internal states
- u is the detuning
- y is the piezo drive signal
- x are estimates of the amplitudes of the cavity mechanical modes
- \mathbf{A} can be decomposed into a 2x2 block diagonal matrix
 - Ideal for implementation in an FPGA firmware

$$\begin{aligned}x_{k+1} &= \mathbf{A} x_k + \mathbf{B} u_k \\y_{k+1} &= \mathbf{C} x_{k+1} + \mathbf{D} u_k\end{aligned}$$

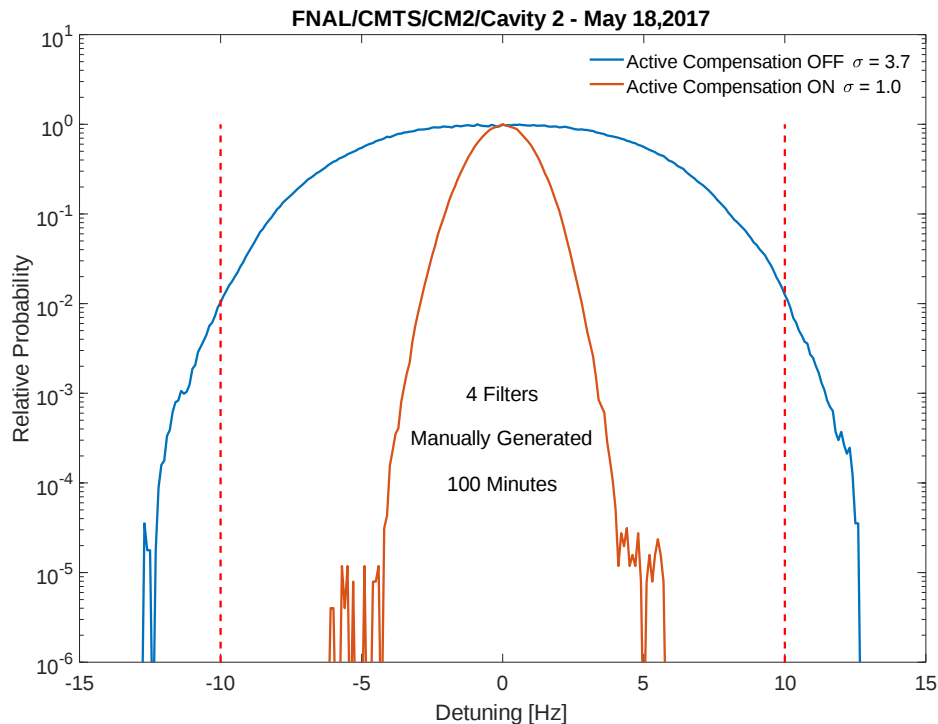
$$\mathbf{A}^{(j)} = \begin{bmatrix} e^{-\frac{\Delta t}{\tau_j}} \cos \omega_j \Delta t & e^{-\frac{\Delta t}{\tau_j}} \sin \omega_j \Delta t \\ -e^{-\frac{\Delta t}{\tau_j}} \sin \omega_j \Delta t & e^{-\frac{\Delta t}{\tau_j}} \cos \omega_j \Delta t \end{bmatrix}$$

$$\mathbf{B}^{(j)} = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$\mathbf{C}^{(j)} = [G^{(j)} \cos \varphi^{(j)} \quad G^{(j)} \sin \varphi^{(j)}]$$

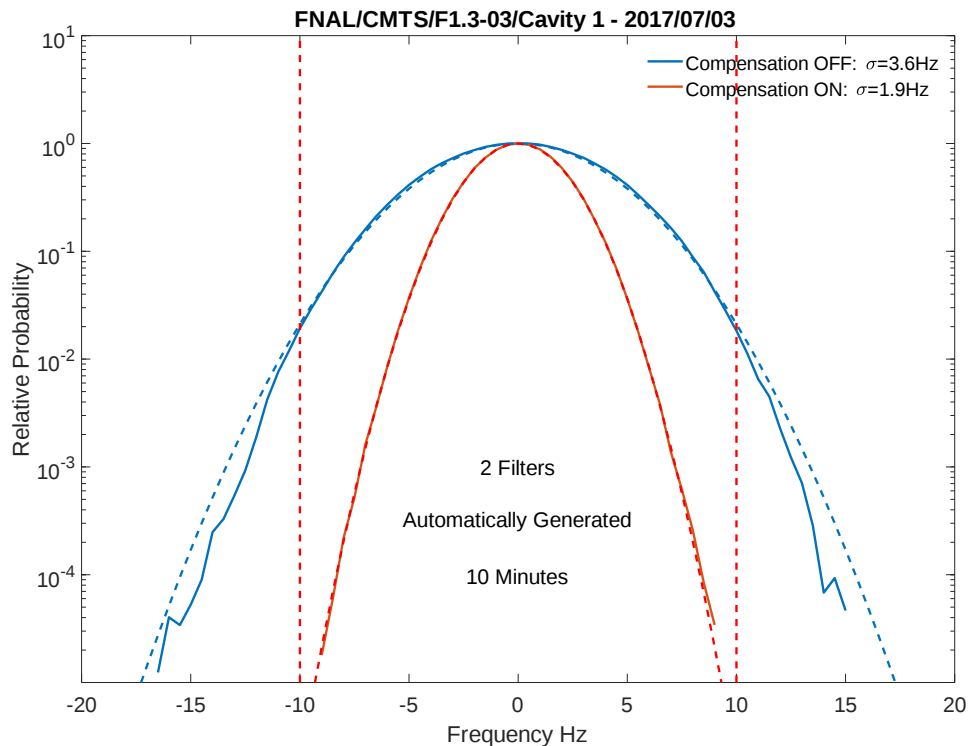
Manual Compensation in CM2/Cavity 2

- Detuning fed to a bank of parallel 2nd order IIR filters
 - Sum of filter outputs drives piezo
- Filter coefficients (frequency, bandwidth, gain, phase) are programmable
- Manually tuned filter coefficients can suppress cavity detuning by a factor of 3 or more



Automatic Compensation in CM3/Cavity 1

- Automated algorithm uses Least Squares to determine filter coefficients from
 - measured detuning noise spectrum and
 - piezo/detuning transfer function
- Single overall gain adjusted manually



BESSY Testing

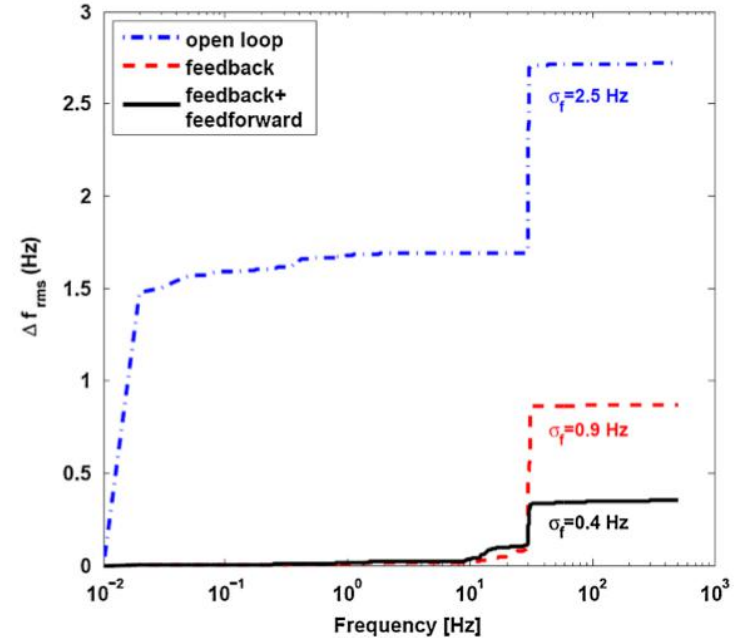
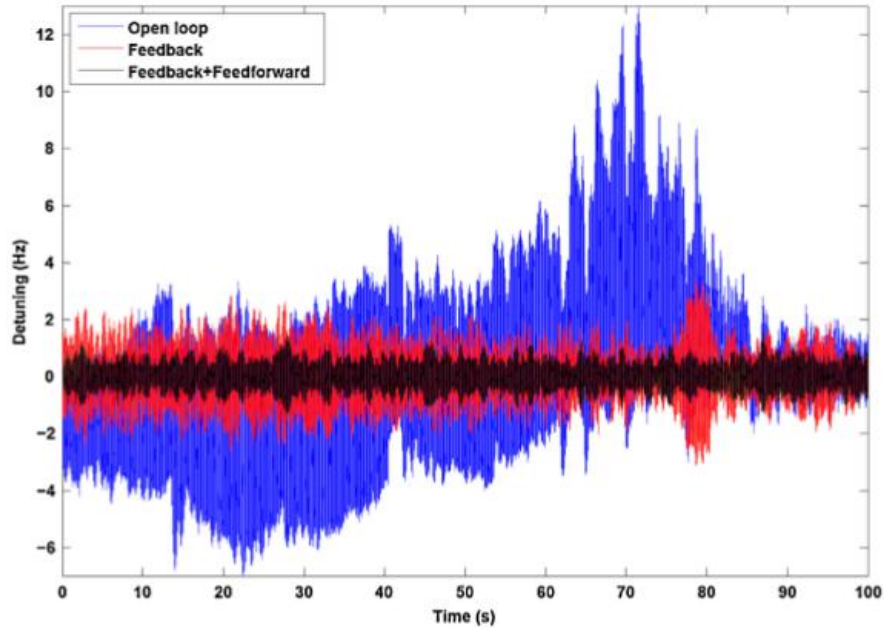
- Feedback: 1-2 Hz 3 dB low-pass cutoff PI controller, $K_p \sim 10-20$, limited by tuner resolution and peak event stability
- Feedforward: Adaptive fourier-domain LMS
 - Deconvolves piezo transfer function from the measured microphonics
 - Phase shifter to compensate for loop phase
 - Generated based on IFFT of detuning error signal FFT deconvolved form transfer function

$$y_n = \vec{w}_n^T \text{IFFT}(\hat{e}_n / H_{\text{piezo} \rightarrow \Delta f})$$

$$e_n = H_{\text{ext} \rightarrow \Delta f} z_n - H_{\text{piezo} \rightarrow \Delta f} y_n \sin(\phi_{\text{shift}}).$$

$$\vec{w}_{n+1} = \vec{w}_n - \mu \frac{e_n \vec{x}_n}{\beta + \vec{x}_n^T \vec{x}_n}$$

BESSY Testing



- LMS with Low-Frequency PI feedback

DESY

- LMS with N notches per cavity
- Pipelined architecture

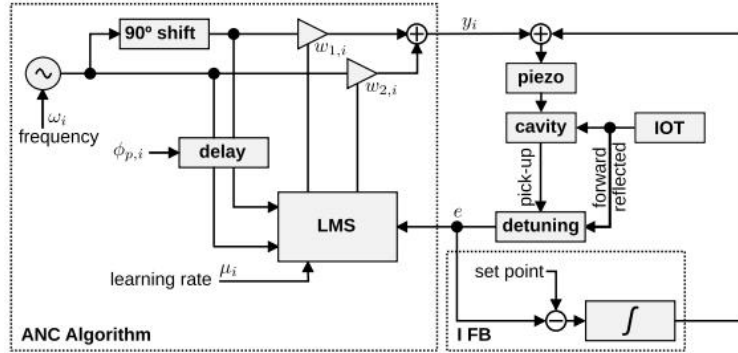
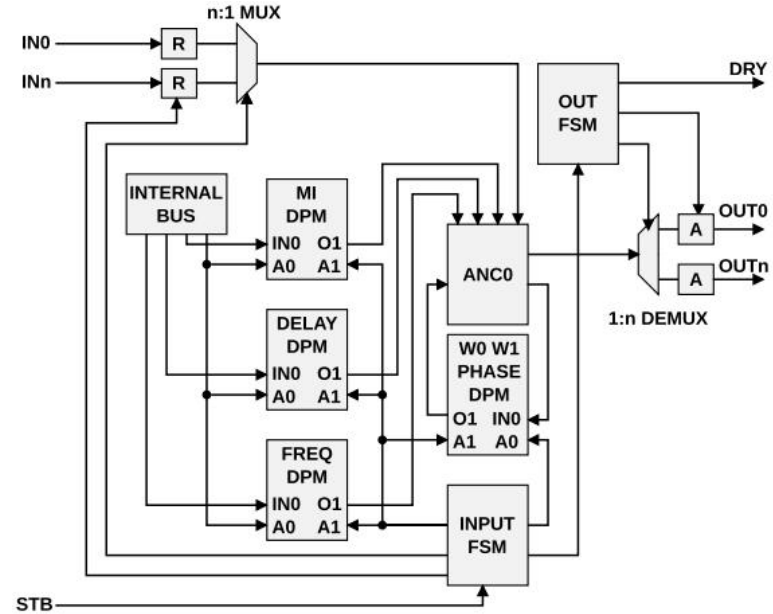
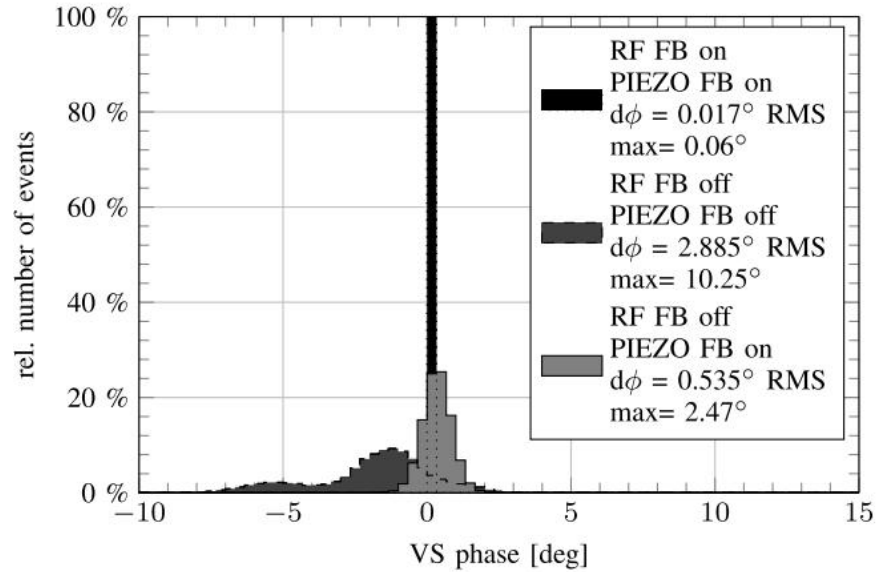
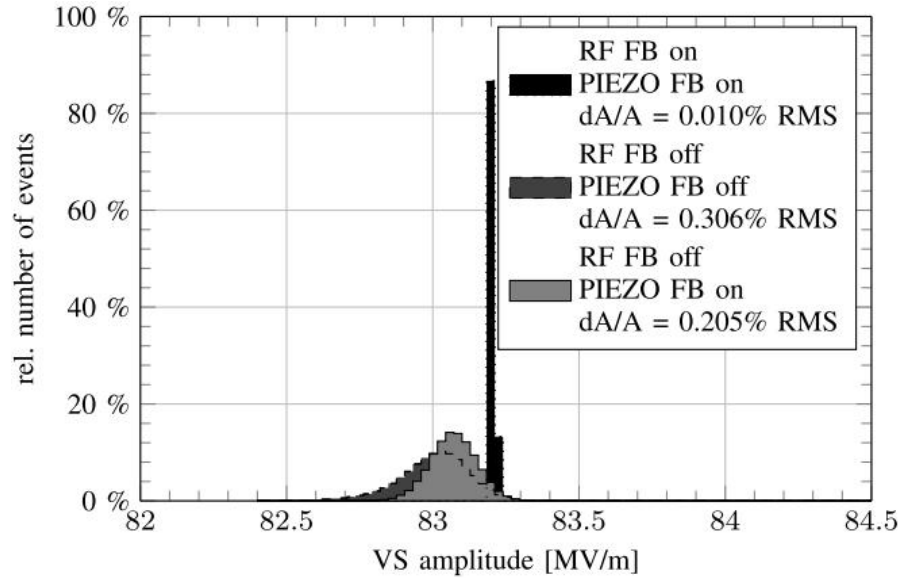


Fig. 6. Detuning compensation algorithm scheme.

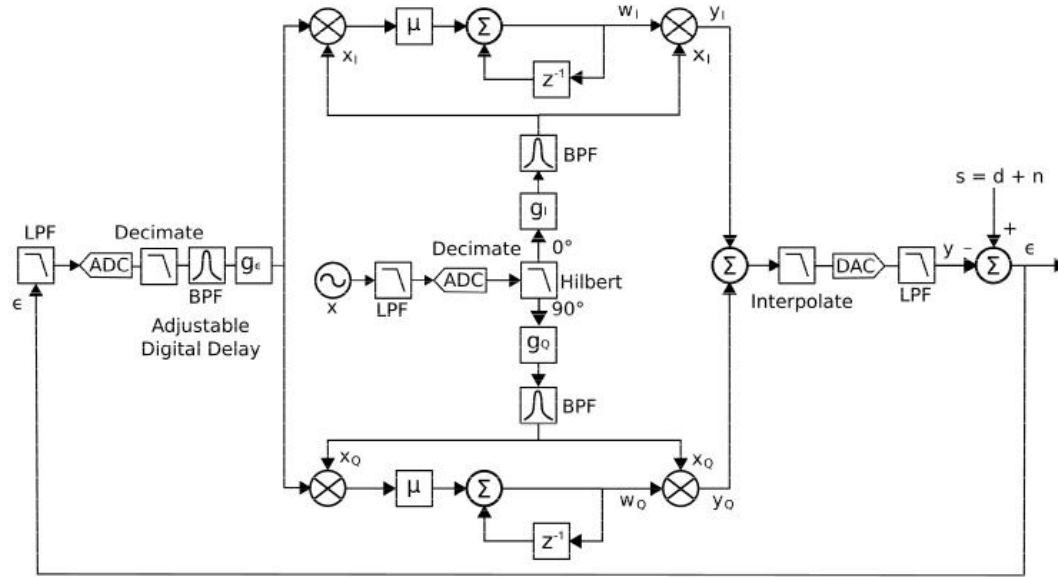
$$H_{ANC}(z) = \sum_{i=1}^{n_{\omega}} \mu_i A_{p,i}^2 \left[\frac{z \cos(\omega_i - \phi_{p,i}) - \cos \phi_{p,i}}{z^2 - 2z \cos \omega_i + 1} \right]$$

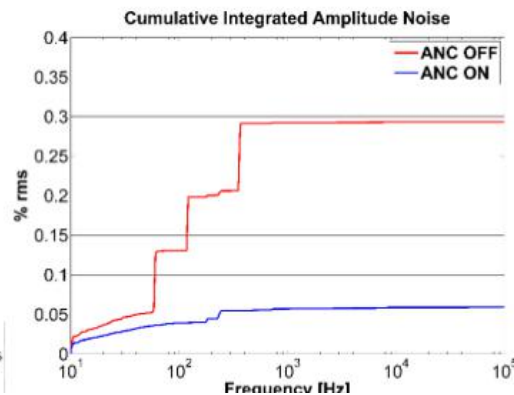
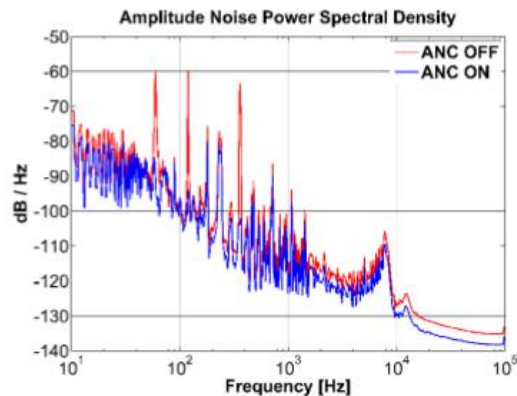
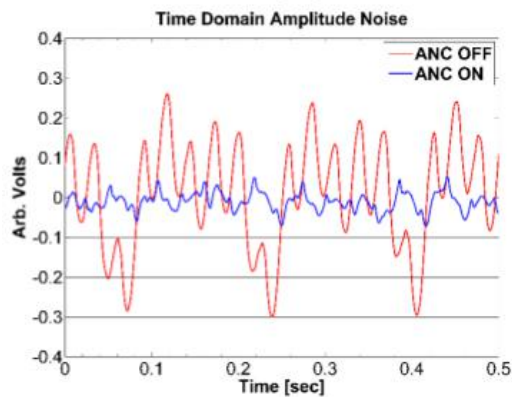
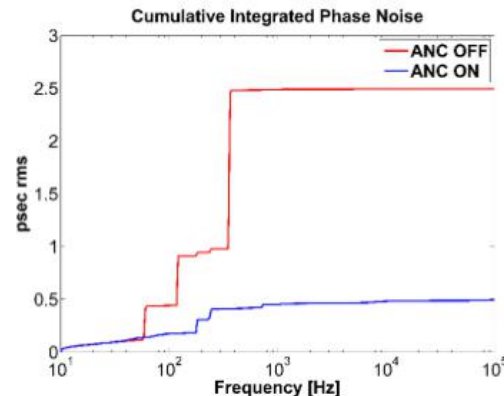
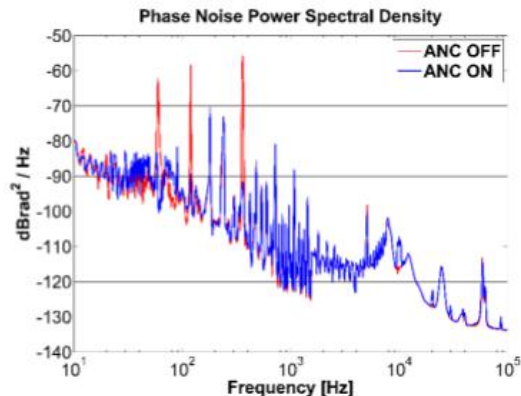
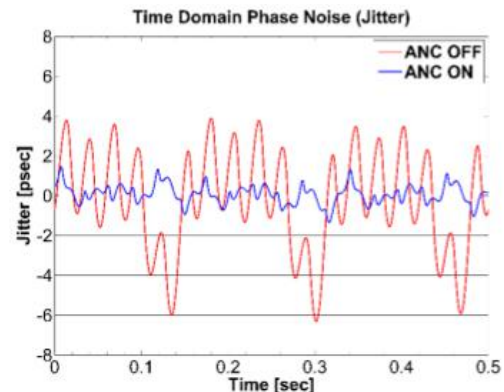




APS

- Narrowband (400th order) adaptive notch filter
- Excellent for removing discrete, narrowband sources





Conclusion

- Mitigation and control techniques requires an understanding of systematic issues
 - Working in a black box is not a good idea
 - Don't work on it alone and never take anything for granted
- Controller stability analysis is a necessity

- Thank You

Additional Slides

Audio Interpretations

- Look at things in different ways



TO REVIEW

- Control bandwidth and theory
- DC Robinson Stability (neumann 2015)
- Warren microphonics and ARC. Download and use
- LCR circuit model used for feedback (neumann [11])
- Get audio recordings from emails and save. LCLS-II pCM
- get echo cancellation paper in correct location
- Model-based control
- LFD field**2 proportion for detuning vs integrator (square of cavity gradient. Makes sense, as we're balancing power)
- Standard feedback on the signal with notches helps. Is this good enough? That is the real question. Pull from wepty036

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